

Faculty of Engineering and Physical Sciences

Proportionate Review Screening Tool

Please check the correct box for each question and read the criteria at the end of the screening tool to determine if your application may be considered by the Proportionate Review system or the Full Ethical Approval system.

Screening questions		Yes	No
<p>Do you have ethical approval for the study from another recognised Ethics Committee? <i>If yes you should complete the form, Confirmation of Existing Ethical Approval.</i></p>		<input type="checkbox"/>	<input type="checkbox"/>
<p>Are the proposed participants under the jurisdiction of the prison service? <i>If yes, you will need to apply to the relevant prison ethics committee to obtain ethical approval. You will also need to apply for Faculty REC Approval by completing the Full Ethical Approval Form.</i></p>		<input type="checkbox"/>	<input type="checkbox"/>
<p>Are the proposed participants to be recruited from NHS organisations, including hospitals, social care homes, residential care homes, nursing homes? <i>If yes, you will need to apply to the relevant NHS Research Ethics Committee to obtain ethical approval.</i></p>		<input type="checkbox"/>	<input type="checkbox"/>
<p>Does this study involve animals? If yes and the procedures are covered by the Animal (Scientific Procedures) Act 1986, an application should be submitted to AWERB <i>If yes and the procedures are not covered by the Animal (Scientific Procedures) Act 1986, please complete the form, Animal Welfare Ethical Approval Form. This should be requested by emailing the Research Ethics Officer, at facultyrecepts@gub.ac.uk</i></p>		<input type="checkbox"/>	<input type="checkbox"/>
Consideration for Proportionate Review		Yes	No
1.	<p>Is it your intention to conduct research involving children under 16 with no physical, mental or learning impairment? <i>You may submit an application under the Proportionate Review system if this is 'Yes'. You must indicate in the "Dealing with ethical issues box" how consent will be obtained from the participant's parents / guardians or responsible adult and how assent will be obtained from the participants.</i></p>	<input type="checkbox"/>	<input type="checkbox"/>
2.	<p>Is it your intention to conduct research involving potentially vulnerable groups, individuals with mental or learning or behavioural disabilities, victims of crime or events which are stress inducing (e.g. terrorist attacks)?</p>	<input type="checkbox"/>	<input type="checkbox"/>
3.	<p>Does your research involve access to records of a personal nature or confidential information (including genetic, health or other biological information) for which specific consent has not been granted for its use for the purposes of the research and it is not anonymised?</p>	<input type="checkbox"/>	<input type="checkbox"/>

4.	Does your research involve access to potentially sensitive data through third parties (such as employee data)?	<input type="checkbox"/>	<input type="checkbox"/>
5.	Does your research involve a questionnaire which seeks responses about highly sensitive topics for example, sexual behaviour, illegal behaviour, political opinion, religious or spiritual beliefs, race or ethnicity, experience of violence, abuse or exploitation, mental health where the individual's response can be identified and attributed to the individual?	<input type="checkbox"/>	<input type="checkbox"/>
6.	Does your research involve a 1:1 interview with participants which seeks responses about highly sensitive topics for example, sexual behaviour, illegal behaviour, political opinion, religious or spiritual beliefs, race or ethnicity, experience of violence, abuse or exploitation, mental health?	<input type="checkbox"/>	<input type="checkbox"/>
7.	Does your research involve a group 'discussion' forum which seeks responses about highly sensitive topics for example, sexual behaviour, illegal behaviour, political opinion, religious or spiritual beliefs, race or ethnicity, experience of violence, abuse or exploitation, mental health?	<input type="checkbox"/>	<input type="checkbox"/>
8.	Does your research involve respondents participating through social media about highly sensitive issues where the individual's response can be identified and attributed to the individual?	<input type="checkbox"/>	<input type="checkbox"/>
9.	Does your research involve the collection of human tissue of biological samples from the individual, e.g. saliva?	<input type="checkbox"/>	<input type="checkbox"/>
10.	Does your research involve actions with potentially adverse environmental impact?	<input type="checkbox"/>	<input type="checkbox"/>
11.	Does your research involve a significant element of deception?	<input type="checkbox"/>	<input type="checkbox"/>
12.	Does your research involve invasive procedures, e.g. the administration of drugs or other substances (e.g. food, supplements), vigorous physical exercise, or techniques such as hypnotherapy that would not usually be encountered in everyday life?	<input type="checkbox"/>	<input type="checkbox"/>
13.	Does your research involve visual/vocal methods where participants or other individuals may be identifiable in the images used or generated, where consent for the use of the images in research has not been obtained?	<input type="checkbox"/>	<input type="checkbox"/>
14.	Does your research involve financial inducements (other than reasonable expenses and compensation for time) being offered to participants?	<input type="checkbox"/>	<input type="checkbox"/>
15.	Does your research involve covert observation of individuals in non-public places without their consent?	<input type="checkbox"/>	<input type="checkbox"/>
16.	Could participation in the research result in psychological stress, anxiety or humiliation or more than minimal pain, that that would not usually be encountered in everyday life?	<input type="checkbox"/>	<input type="checkbox"/>
17.	Could participation in the research result in repetitive or prolonged testing such that it may induce fatigue or adverse physical or	<input type="checkbox"/>	<input type="checkbox"/>

	psychological states?		
18.	Could participation in the research result in behavioural change through therapeutic interventions, for example, the administration of substances or enrolment in programmes with the specific aim of altering behaviour?	<input type="checkbox"/>	<input type="checkbox"/>
19.	Could participation in the research result in 'labelling' either by the researcher (e.g. categorisation) or by the participant (e.g. 'I am stupid', 'I am not normal')?	<input type="checkbox"/>	<input type="checkbox"/>
20.	Could participation in the research result in an adverse impact on employment or social standing (e.g. discussion of an employer, discussion of commercially sensitive information)?	<input type="checkbox"/>	<input type="checkbox"/>
21.	Could participation in the research result in findings relevant for an individual participant's health and well-being?	<input type="checkbox"/>	<input type="checkbox"/>

If all the answers are **no** in the section 'Consideration for Proportionate Review' then you may complete the Proportionate Review Ethics Application.

If the answer to question 1 in the section 'Consideration for Proportionate Review' is **yes** you may still complete the Proportionate Review Ethics Application but must provide the information required as specified above in the application.

If answers to the questions are yes in the section 'Consideration for Proportionate Review', other than 1, you must complete the Full Ethics Application.