

DRAFT Equality Scheme for Queen's University Belfast (2018-2023)



Drawn up in accordance with Section 75 and Schedule 9 of the Northern Ireland Act 1998

http://www.qub.ac.uk/diversity
@QUBEqualDiverse

If you have any questions or comments on this scheme or if you require it in an alternative format/language please contact the Diversity & Inclusion Unit:

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Foreword

Section 75 of the Northern Ireland Act 1998 ("the Act") requires public authorities, in carrying out their functions relating to Northern Ireland, to have due regard to the need to promote equality of opportunity and regard to the desirability of promoting good relations across a range of categories outlined in the Act.

We, the Chair of Senate and the Vice-Chancellor of Queen's University Belfast ("We"/ "the University") are fully committed to effectively fulfilling our Section 75 statutory duties across all our functions through the effective implementation of our Equality Scheme.

This Scheme sets out out how we propose to fulfill our Section 75 statutory duties.

We will commit the necessary resources, from those available, in terms of people, time and money to make sure that the Section 75 statutory duties are complied with and that the Equality Scheme is implemented effectively and on time.

We will continue to deliver our programme of policy development, outreach, engagement, communication and training to ensure that all staff and Senate members are made fully aware of the University's Equality Scheme (2018-2023) and understand the commitments and obligations within it.

We commit to having effective internal arrangements in place for ensuring our compliance with the Section 75 statutory duties and for monitoring and reviewing our progress. We realise the important role that the statutory, community and voluntary sectors and the general public have to play to ensure the Section 75 statutory duties are effectively implemented.

Our Scheme demonstrates how determined we are to ensure there are opportunities, for people affected by our work, to have a positive influence on how we carry out our functions in line with our Section 75 statutory duties.

It also offers the means for people directly affected by what they consider to be a failure, on our part, to comply with our Equality Scheme and/or to make complaints.

On behalf of the University and our staff and students, we are pleased to support and endorse this Equality Scheme which has been drawn up in accordance with Section 75 and Schedule 9 of the Northern Ireland Act 1998 and Equality Commission guidelines.

Professor James McElnay

Acting Vice-Chancellor

Mr Stephen Prentice

Chair of Senate

Contents

Foreword

Background

Chapter 1	Introduction	7
Chapter 2	Arrangements for assessing our compliance with the Section 75 Duties	9
Chapter 3	Arrangements for consulting	12
Chapter 4	Arrangements for assessing, monitoring and publishing the impact of policies	14
Chapter 5	Staff training	18
Chapter 6	Arrangements for ensuring and assessing public access to information and services we provide	20
Chapter 7	Timetable for measures we propose in this Equality Scheme	21
Chapter 8	Complaints procedure	22
Chapter 9	Publication of our Equality Scheme	23
Chapter 10	Review of the Equality Scheme	24

Appendix 1Organisational Structure25







Staff at the launch of "Behind the Scenes at Queen's" a careers outreach morning targeting "hard to reach" communities, Queen's University Belfast, March 2017

Background

The University is one of the oldest in the United Kingdom.

Established in Belfast in 1845, as one of the three 'Queen's Colleges in Ireland,' it became a fully-fledged university in 1908, adopting its present name - The Queen's University of Belfast .

The University is part of the Russell Group, an association of 20 major research intensive universities including the Universities of Oxford, Cambridge, Edinburgh and University College London. It is respected across the globe as a centre of teaching excellence and innovative research.

Today, the student body numbers almost 25,000, with all students enjoying world class facilities across the campus.

It is also a very diverse place to work with approximately 3700 members of staff, more than 700 of whom are international staff from over 80 different countries.



Queen's University Belfast, International Students, Summer Graduation Ceremony 2015

The University forms the focal point of the Queen's Quarter area of Belfast, one of four cultural districts in the capital city.

It offers academic degrees at various levels and across a broad subject range, with over 300 degree programmes available.

The University has been committed to equality since 1908 when it was first written into its Charter and it is one of the UK's leading Universities in terms of Gender Equality, being the holder of an Athena SWAN Silver Institutional Award currently 1 of only 9 in the UK.

Introduction

Section 75 of the Northern Ireland Act 1998

1. Section 75 of the Northern Ireland Act 1998 ("the Act") requires the University to comply with two statutory duties:

Section 75 (1):

- 2. In carrying out its functions relating to Northern Ireland, the University is required to have due regard to the need **to promote equality of opportunity between:**
 - persons of different religious belief, political opinion, racial group, age, marital status or sexual orientation;
 - men and women generally;
 - persons with a disability and persons without; and
 - persons with dependants and persons without.

Section 75 (2):

- 3. In addition, without prejudice to the obligations above, in carrying out its functions in relation to Northern Ireland, the University is required to have regard to the desirability of promoting good relations between persons of different religious belief, political opinion or racial groups.
 - 4. "Functions" include the "powers and duties" of a public authority¹ which for the University can be found stated in Section 4 of the 1908 Royal Charter which state: "The objects of the University shall be the advancement and dissemination of learning and knowledge by teaching and research, and through the practice and inculcation of professional and other skills appropriate to the provision of higher education, and by the example and influence of its corporate life."
 - 5. Schedule 9 4(1) of the Act requires the University, as a designated public authority, to set out in an Equality Scheme how it proposes to fulfil the duties imposed by Section 75 in relation to its relevant functions. This Equality Scheme is intended to fulfil that statutory requirement. It is both a statement of the University's arrangements for fulfilling the Section 75 statutory duties and its plan for their implementation.
 - 6. The University is committed to the discharge of its Section 75 obligations in all parts of its organisation and will commit the necessary resources from those available in terms of people, time and money to ensure that the Section 75 statutory duties are complied with and that its Equality Scheme can be implemented effectively.

¹ Section 98(1) of the Northern Ireland Act 1998.

Corporate Plan 2016-2021

This Equality Scheme is linked to Vision 2020 and the University's Corporate Plan (2016-2021), details of which are available at: <u>http://www.qub.ac.uk/corporate-plan/</u>



Queen's University Belfast, Corporate Plan 2016-2021

Arrangements for assessing compliance with the Section 75 duties

- 7. Responsibility for the effective implementation of the Equality Scheme lies with Senate, the University's Governing Body. The Vice-Chancellor is accountable to Senate for the development, implementation, maintenance and review of the Equality Scheme in accordance with Section 75 and Schedule 9 of the Northern Ireland Act 1998. The University's Operating Board oversees and reviews the implementation of the Scheme.
- 8. Any questions or comments regarding the Equality Scheme should be directed to The Diversity and Inclusion Unit, Queen's University Belfast, Level 4, Administration Building, Belfast, BT7 1NN or by emailing <u>eqdiv@qub.ac.uk</u> (full contact details, Pg 40).
- 9. All staff job descriptions include the requirement to comply with the University's policies and procedures, including the University's Equality and Diversity Policy and to complete mandatory online Equality and Diversity and Unconscious Bias training. Line managers also have specific responsibility for ensuring compliance in their own work areas.
- 10. The University prepares an Annual Progress Report summarising how it has discharged its Section 75 statutory duties (this is known as a "Section 75 Annual Progress Report").
- 11. This Report is sent to the Equality Commission each year and follows its guidance.
- 12. Progress on the delivery of Section 75 statutory duties is also reported to Senate annually.
- 13. The Equality Commission for Northern Ireland has recommended that all Equality Schemes are accompanied by an Action Plan to tackle Section 75 inequalities and that such plans should be informed by an Audit of Equality Effectiveness ("The Audit").
- 14. The Audit was carried out during June and July 2017 and involved a review of information including but not limited to:
 - The University's Corporate Plan (2016-2021);
 - The University's application for Athena SWAN Gold status (2017);
 - The University's Disability Action Plan (2016-2021);
 - The University's Article 55 Review (2014-2017);
 - The University's latest Fair Employment Return (2016-2017);
 - The University's Article 55 Affirmative Action Plan;
 - The University's latest Section 75 Annual Progress Reports (2014-2017);
 - The University's Staff Survey (2016) results;
 - The Human Resources Directorate's draft People and Culture Strategy (2017);
 - An analysis of formal (staff) complaints;
 - External research and benchmarking data;
 - Current institutional HR workplace policies;
 - Equality, Diversity and Inclusion Staff Training materials and completed feedback forms;
 - Relevant Communication Action Plans;

- Data relating to current (internal) equality monitoring/screening practices and external best practice (GB & NI);
- Governing Bodies, Equality and Diversity: A Handbook for governors of Northern Irish Higher Education Institutions, Equality Challenge Unit (November 2016);
- Best practice initiatives undertaken by other Russell Group Universities etc) in respect of Equality, Diversity and Inclusion Activity;
- Equality Commission for Northern Ireland; relevants Codes of Practice; guidance and advice documents.
- 15. Key findings from the Audit of Equality Effectiveness will be set out on the University's website: <u>http://www.qub.ac.uk/diversity</u>
- 16. This Scheme will be sent to a wide range of internal and external service users, voluntary groups and organisations, Trade Unions and the Equality Commission for Northern Ireland in order to inform the development of the University's Action Based Plan ("the Action Plan" see blank template at Appendix 5).
- 17. The Scheme will be hosted on the University's website-<u>http://www.qub.ac.uk/diversity/</u> - so that members of the public can access it online and download/print for reading offline.
- 18. The Action Plan will seek to promote equality of opportunity and good relations. It will be implemented through the framework of the University's Equality Scheme.
- 19. The measures contained within the Plan will be linked to the University's Corporate Plan in order to ensure that equality of opportunity and good relations are incorporated at both a strategic and operational level.
- 20. The Plan will contain a range of positive action measures to be delivered. These will be grouped according to protected characteristics (e.g. race, religion, sexual orientation etc) and and delivered using a thematic approach e.g. Year One may focus on Race, Religion and Sexual Orientation while the following 12 months may focus on delivering actions linked to other protected characteristics. This will ensure a balanced, fair and even distribution of activity for each of the 9 protected characteristics. The themes chosen for the first (and subsequent) 12 month reporting cycles will be informed by the consultation process.
- 21. Progress will be monitored closely and reported internally each year and then to externally to the Equality Commission, as part of the Section 75 Annual Progress Report.
- 22. This will help inform the development/refinement of the Plan for the following 12 months allowing the University to address any equality, diversity or inclusion which issues emerge during the reporting cycle. It also ensures the Plan is a live, flexible and evolving document.
- 23. Once finalised, the Action Plan will be available on the University's website <u>http://www.qub.ac.uk/diversity/</u> where you can also read its latest Section 75 Annual Progress Report.



International Staff meet at a lunchtime "speed networking" event in The Great Hall, May 2016, Queen's University Belfast



Queen's University students, staff and family/friends supporting the Belfast Pride Parade, July 2017, Belfast City Centre

Arrangements for consulting

- 23. The University recognises the importance of consultation in all aspects of the implementation of its statutory equality duties. Specific training is provided to those facilitating consultations to ensure that they have the necessary skills to communicate effectively with consultees.
- 24. The University will consult on matters to which the duty is likely be relevant, including its Equality Scheme, action measures, and the likely impact of policies adopted or proposed to be adopted on the promotion of equality of opportunity (i.e. screening reports and Equality Impact Assessments) in accordance with the principles contained in the Equality Commission's guidance.
- 25. Initially all consultees (Appendix 3), as a matter of course, will be notified (by email) of the matter/policy² being consulted upon to ensure they are aware of all consultations. Where appropriate, the University will take a targeted approach to consultation for those consultees who may have a particular interest in the matter/policy being consulted upon and to whom the matter/policy is of particular relevance.
- 26. The University will engage with affected individuals and representative groups to identify what their preferred consultation methods are, and will give consideration to these. Consultation with all stakeholders will begin as early as possible.
- 27. The University will consider the accessibility and format of every method of consultation used in order to remove barriers to the consultation process and ensure meaningful consultation. The University will give particular consideration as to how best to communicate with young people, children, those with disabilities and minority ethnic communities.
- 28. All relevant information will be made available to consultees in appropriate formats to ensure meaningful consultation. Information will be made available, on request, in alternative formats³, in a timely manner (i.e. usually within one month of the request being received, unless the timescales of third party providers dictate otherwise e.g. translation providers). Consultees requiring alternative formats will have adequate time to respond.
- 29. Consultation periods will normally last for a minimum of twelve weeks to allow adequate time for groups to consult amongst themselves as part of the process of forming a view. However, in exceptional circumstances when this timescale is not feasible, the consultation period may be shortened to eight weeks or less before the policy is implemented. Consultation thereafter may continue to inform the review of the policy as part of our monitoring commitments⁴.

² See glossary for definition of 'policy'

³ See Chapter 9 of the Equality Scheme for further information on alternative formats of information we provide.

⁴ See Chapter 4 for details on monitoring.

- 30. However, if the policy must be implemented immediately or the consultation period shortened to eight weeks or less, it will be reviewed as part of the monitoring commitment, and comments received will be taken into consideration.
- 31. In making any decision with respect to a policy adopted or proposed to be adopted, the University will take into account any assessment and consultation carried out in relation to the policy, together with feedback received during consultation.
- 32. The University will seek to provide feedback to consultees in a timely manner by email or by placing it on the University's website.
- 33. A list of consultees is included in this Equality Scheme at Appendix 3. It can also be obtained at: <u>http://www.qub.ac.uk/diversity/</u>
- 34. This consultation list is not exhaustive and is reviewed regularly to ensure it remains relevant to the University's functions and policies.
- 35. The University welcomes enquiries from any individual or organisation wishing to be added to, or removed from the list of consultees.



Photo collage featuring students, staff and accommodation, Queen's University Belfast

Arrangements for assessing, monitoring and publishing the impact of policies

- 36. The University uses the screening and equality impact assessment (EQIA) tools to assess the likely impact of a policy on the promotion of equality of opportunity and good relations. In carrying out these assessments, it relates them to the intended outcomes of the policy in question and follows Equality Commission guidance.
- 37. The purpose of screening is to identify those policies which are likely to have an impact on equality of opportunity and/or good relations. Screening is completed at the earliest opportunity in the policy development/review process and normally before implementation. More detailed strategies or policies that are to be put in place through a series of stages will be screened at various stages during implementation.
- 38. The lead role in the screening of a policy is taken by the policy author / decision maker who has the authority to make changes to that policy. However, screening normally involves other relevant staff, for example, staff from the Diversity and Inclusion Unit, those who implement the policy and staff members from other relevant departments.
- 39. The following questions are applied to all University policies as part of the screening process:
 - What is the likely impact on equality of opportunity for those affected by this policy, for each of the Section 75 equality categories? (minor/major/none)
 - Are there opportunities to better promote equality of opportunity for people within the Section 75 equality categories?
 - To what extent is the policy likely to impact on good relations between people of a different religious belief, political opinion or racial group? (minor/major/none)
 - Are there opportunities to better promote good relations between people of a different religious belief, political opinion or racial group?
- 40. In order to answer the screening questions, relevant information and data should be gathered. In taking this evidence into account, the University must consider the different needs, experiences and priorities for each of the Section 75 equality categories. Any screening decision will be informed by this evidence.
- 41. The screening process leads to one of the following three outcomes:
 - The policy is 'screened in' for equality impact assessment
 - The policy is 'screened out' with mitigation or an alternative policy proposed to be adopted
 - The policy is 'screened out' without mitigation or an alternative policy proposed to be adopted.
- 42. If screening concludes that the likely impact of a policy is 'minor' in respect of one, or more, of the equality of opportunity and/or good relations categories, the University may, on occasion, decide to proceed with an EQIA, depending on the policy. If an EQIA is not to be conducted, the University will nonetheless consider measures that

might mitigate the policy impact as well as alternative policies that might better achieve the promotion of equality of opportunity and/or good relations.

- 43. Where mitigation is required, the reasons to support this decision, together with the proposed changes, amendments or alternative policy, will be outlined in the screening template.
- 44. If screening concludes that the likely impact of a policy is 'major' in respect of one, or more, of the equality of opportunity and/or good relations categories, this will normally result in an EQIA being carried out.
- 45. If screening concludes that the likely impact of a policy is 'none', in respect of all of the equality of opportunity and/or good relations categories, the policy will be screened out.
- 46. As soon as possible following the completion of the screening process, the screening template will be available on request from the Diversity and Inclusion Unit and at: <u>http://www.qub.ac.uk/diversity/</u>
- 47. If a consultee raises a concern about a screening decision based on supporting evidence, the screening decision will be reviewed.
- 48. Once a policy is screened and screening has identified that an equality impact assessment is necessary, an EQIA will be carried out in accordance with Equality Commission guidance, normally before implementation.
- 49. An EQIA is a thorough and systematic analysis of a policy, whether that policy is formal or informal, and irrespective of the scope of that policy. The primary function of an EQIA is to determine the extent of any impact of a policy upon the Section 75 categories and to determine if the impact is an adverse one. It is also an opportunity to demonstrate the likely positive outcomes of a policy and to seek ways to more effectively promote equality of opportunity and good relations.
- 50. Any EQIA will be subject to consultation at the appropriate stage(s).

Arrangements for publishing the results of the assessments of the likely impact of policies (which have been adopted or it is proposed to adopt) on the promotion of equality of opportunity

- 51. Screening reports will be published regularly on the University's website. They will set out:
 - policies screened by the University in the intervening period;
 - a statement of the aim(s) of the policy/policies to which the assessment relates;
 - consideration given to measures which might mitigate any adverse impact;
 - consideration given to alternative policies which might better achieve the promotion of equality of opportunity;
 - screening decisions; and
 - a link to the completed screening template(s).
- 52. EQIA reports are published once the impact assessment has been completed. These include:
 - a statement of the aim of the policy assessed;
 - information and data collected;
 - details of the assessment of impact(s);
 - consideration given to measures which might mitigate any adverse impact;
 - consideration given to alternative policies which might better achieve the promotion of equality of opportunity;
 - consultation responses;
 - the decision taken; and
 - future monitoring plans.
- 53. All published information will be accessible and available in alternative formats on request. Results of assessments (screening reports and completed templates, the results of EQIAs will be available from the Diversity and Inclusion Unit: <u>http://www.qub.ac.uk/diversity/</u>

The University recognises that monitoring can assist it to deliver its services better. Monitoring S75 information involves the processing of sensitive personal data (data relating to the racial or ethnic origin of individuals, sexual orientation, political opinion, religious belief, etc.) In order to carry out monitoring in a confidential and effective manner, the University follows guidance from the office of the Information Commissioner and the Equality Commission.

- 54. The University will monitor any adverse impact on the promotion of equality of opportunity of policies which it has adopted through policy screening, EQIA and policy/ EQIA review. It also recognises that monitoring can identify opportunities to better promote equality of opportunity and good relations in line with Equality Commission guidance.
- 55. Qualitative and quantitative data are collected, collated and analysed across the equality categories on an ongoing basis. Equality monitoring systems will reviewed on an ongoing basis. Where necessary, new data may be commissioned. EQIA monitoring information will be reviewed on an annual basis, together with other monitoring information.

- 56. If monitoring and evaluation show that a policy results in greater adverse impact than predicted, or if opportunities arise which would allow for greater equality of opportunity to be promoted, the University will ensure that the policy will be revised to achieve better outcomes for relevant equality groups.
- 57. Monitoring information, including EQIA monitoring information will be published within the University's Section 75 Progress Report and at: <u>http://www.gub.ac.uk/diversity/</u>



Photo collage featuring Queen's University students, staff and Paralympic/World Gold Medallist and Queen's University Graduand, Dr Michael McKillop at the launch of "Disable the Label", December 2016, Queen's University Belfast

Staff training

- 58. The University recognises that awareness raising and training play a crucial role in the effective implementation of the Section 75 duties.
- 59. The University provides a comprehensive menu of Equality, Diversity and Inclusion Training for its staff. This uses a blended approach of elearning and face to face training sessions, both of which seek:
 - To raise awareness of the provisions of Section 75 of the Northern Ireland Act 1998;
 - To raise awareness of the University's Equality Scheme commitments and the particular issues likely to affect people across the range of Section 75 categories, to ensure that staff fully understand their role in implementing the scheme;
 - To raise awareness of Unconscious Bias and how it can affect decision making; educating staff on techniques to identify and challenge any biases displayed in a range of different workplace scenarios;
 - To provide those staff involved in the assessment of policies (screening and EQIA) with the necessary skills and knowledge to do this work effectively;
 - To provide those staff who deal with complaints with the necessary skills and knowledge to investigate and monitor complaints effectively;
 - To provide those staff involved in consultation processes with the necessary skills and knowledge to do this work effectively; and
 - To provide those staff involved in the implementation and monitoring of the University's Equality Scheme with the necessary skills and knowledge to do this work effectively.
- 60. All staff are required to complete the University's Equality and Diversity Training and Unconcious Bias elearning programmes. This is a mandatory training requirement for all staff.
- 61. All new staff joining the University receive face to face Equality and Diversity Training as part of their induction process.
- 62. The University's commitment to the Section 75 statutory duties is made clear in all relevant publications.
- 63. In addition, the following arrangements are in place to ensure all staff and Senate members are aware of and understand their equality obligations:
 - A summary of this Equality Scheme will be developed and made available to all staff;
 - Access to the full Equality Scheme will be provided for all staff.
 - Any queries will be addressed by the Diversity and Inclusion Unit.
 - Information relating to this Equality Scheme will be incorporated into Equality and Training materials within 3 months of the Scheme's approval;
 - Information on the Equality Scheme and the University's Section 75 statutory duties will be included in induction training for new staff;
 - The mandatory online Equality and Diversity Training elearning programme ("DiversityNow") will be refreshed or replaced with a more up to date version during the life-cycle of this Scheme;

- Focused training will continue to be provided for key staff who are directly engaged in taking forward the implementation of the Equality Scheme;
- Where appropriate, training will be provided to ensure staff are aware of the issues experienced by the range of Section 75 groups;
- Where appropriate and on an ongoing basis, arrangements will be made to ensure staff are kept up to date with Section 75 developments;
- Training on Section 75 and the new Equality Scheme and action plan will be provided for Senate members;
- Where requested training will be provided for students going on work placements;
- Where relevant, training and awareness raising programmes may be developed and delivered in association with the appropriate Section 75 groups and staff;
- The University is aware that some groups will not have the same access to information and where practicable will seek to address this.
- Where appropriate, participants' learning will be assessed. This will also indicate the effectiveness of the training provision;
- The extent to which training objectives have been met will be reported on as part of the Section 75 annual progress report, which will be sent to the Equality Commission; and
- The University will continue to maintain a database of staff who have completed the training this will assist in targeting future training activity.



Staff and Students at the launch of "Think Difference, Act Differently" a new Equality and Diversity elearning programme, The Great Hall, Queen's University Belfast, February 2017

Arrangements for ensuring and assessing public access to information and services the University provides

- 64. The University strives to ensure that its information and services are accessible. The University also adheres to the relevant provisions of current anti-discrimination legislation. In line with general monitoring arrangements the University monitors across all its functions in relation to access to information and services, to ensure that equality of opportunity and good relations are promoted.
- 65. The University is aware that some groups will not have the same access to information and where practicable will seek to address this. To ensure equality of opportunity in accessing information, the University provides information in alternative formats on request, where reasonably practicable. This may include Braille, audio formats, large print or minority languages to meet the needs of those for whom English is not their first language. The list of alternative formats is not exhaustive.
- 66. The University will continue to liaise with the Equality Commission and representative organisations with regard to good practice in this are. The University will respond to requests for information in alternative formats, usually within one month of the request being received, unless the timescale of the third party providers dictate otherwise.
- 67. The University will continue to use its Diversity & Inclusion Unit's homepage (<u>http://www.qub.ac.uk/diversity/</u>) and Twitter account (@QUBEqualDiverse) as the primary means of disseminating Equality, Diversity and Inclusion information.
- 68. The University endeavours to ensure that all of its services are fully accessible to everyone in the community through its Teaching and Learning and Widening Participation Strategies, and its Student Recruitment and Admissions and Physical Access and Egress for Disabled People policies. The University has, through its academic support directorates, well established

structures to support students from a broad range of backgrounds including international students and students with a disability.





Draft Timetable for measures proposed in this Equality Scheme

- 69. The timetable for all measures proposed within this Equality Scheme is set out below.
- 70. The measures outlined in this timetable will be incorporated into the University's business planning processes.
- 71. This timetable is different from and in addition to the University's commitment to developing action plans/action measures to specifically address inequalities and further promote equality of opportunity and good relations.

Action	Draft Timescale
Conduct Audit of Inequalities/Equality	June/July 2017
Effectiveness	
Consultation list reviewed and updated	July 2017
Develop draft Equality Scheme	July/August 2017
Formal consultation and engagement	1 September – 1 December 2017
process (internal and external)	
Finalised Equality Scheme and Action Plan	19 December 2017
presented to Senate for approval	
Submit finalised Equality Scheme to the	Early January 2018
Equality Commission for Northern Ireland	
Publication of both documents	On approval, the full Equality Scheme
	will be available to consultees and staff
	via the University's website. A summary
	scheme will be generated as soon as
	possible after approval of the scheme
	by the Equality Commission for
	Northern Ireland
Develop Summary Scheme	
Communication of Equality Scheme to	
consultees	
Implementation and delivery of action plan	Commences upon Equality
	Commission approval
Arrangements for monitoring progress	Ongoing
Screening reports developed	Quarterly
Monitoring of Equality Impact Assessments	Ongoing
Publication of monitoring information	Ongoing
Staff Training	Ongoing
Review of Equality Scheme	As required by Schedule 9 paragraph 8
	(3) of the Northern Ireland Act 1998 the
	University will conduct a thorough
	review of this Equality Scheme either
	within five years of submission of this
	Equality Scheme to the Equality
	Commission or within a shorter
	timescale to allow alignment with the
	review of other planning cycles.

Section 75 Annual Progress Report to the Equality Commission – December
each year from 2018 onwards.

Complaints procedure

- 72. The University is responsive to the views of members of the public and will endeavour to resolve all complaints made regarding this Scheme.
- 73. Schedule 9 paragraph 10 of the Act refers to complaints. A person can make a complaint to a public authority if the complainant believes he or she may have been directly affected by an alleged failure of the authority to comply with its approved Equality Scheme.
- 74. If the complaint has not been resolved within a reasonable timescale, the complaint can be brought to the Equality Commission.
- 75. A person wishing to make a complaint that the University has failed to comply with its approved Equality Scheme should contact The Equality and Diversity Unit, Queen's University Belfast (please see front cover for contact details including email address).
- 76. Complaints will be acknowledged within 5 working days of their receipt.
- 77. The University will carry out an internal investigation of the complaint and will respond substantively to the complainant within one month of the date of receiving the letter of complaint. Under certain circumstances, if the complexity of the matter requires a longer period, the period for response to the complainant may be extended to two months. In those circumstances, the complainant will be advised of the extended period within one month of making the complaint.
- 78. During this process the complainant will be kept fully informed of the progress of the investigation into the complaint and of any outcomes.
- 79. In any subsequent investigation by the Equality Commission, the University will cooperate fully, providing access in a timely manner to any relevant documentation that the Commission may require.
- 80. Similarly, the University will co-operate fully with any investigation by the Equality Commission under sub-paragraph 11 (1) (b) of Schedule 9 to the Northern Ireland Act 1998.
- 81. The University will make all efforts to implement promptly and in full any recommendations arising out of any Commission investigation.

Publication of the Equality Scheme

- 82. The University's Equality Scheme is available free of charge in print form and alternative formats from the Diversity and Inclusion Unit. It can accessed online at: <u>http://www.qub.ac.uk/diversity/</u>
- 83. The following arrangements are in place for the publication of the Equality Scheme:
 - The University will make every effort to communicate widely the existence and content of its Equality Scheme;
 - It will email a link to its approved Equality Scheme to its consultees on its consultation lists. Other consultees without e-mail will be notified by letter and/or social media that the scheme is available on request.
 - It will respond to requests for the Equality Scheme in alternative formats in a timely manner. Formats may include but are not limited to: Easy Read, Braille, large print, audio formats (CD, mp3, DAISY) and in minority languages to meet the needs of those not fluent in English.
- 84. A list of the University's consultees is available from the from the Diversity and Inclusion Unit and at: <u>http://www.qub.ac.uk/diversity/</u>



Review of the Equality Scheme

- 85. As required by Schedule 9 paragraph 8 (3) of the Northern Ireland Act 1998 the University will conduct a thorough review of this Equality Scheme, either within five years of submission of this Equality Scheme to the Equality Commission or within a shorter timescale to allow alignment with the review of other planning cycles.
- 86. The review will evaluate the effectiveness of the scheme in relation to the implementation of the Section 75 statutory duties relevant to the University's functions in Northern Ireland.
- 87. In undertaking this review, the University will follow any guidance (as may be appropriate) issued by the Equality Commission.

Appendix 1: Organisational Structure MANAGEMENT **Acting Vice-Chancellor Pro-Vice-Chancellors Registrar and Chief Operating** Professor J McElnay Officer Education and Students - Professor D Jones . Mr JPJ O'Kane ٠ Research, Enterprise and Postgraduate Affairs - Vacant Internationalisation and Engagement - Professor R English . Arts, Humanities and Social Sciences Engineering and Physical Faculty Pro-Vice-Chancellor Medicine, Health and Life Sciences Professor A Scullion Sciences Faculty Pro-Vice-Chancellor Faculty Pro-Vice-Chancellor Professor M Price History, Anthropology, Philosophy & Professor C Elliott Politics (HAPP) - Prof A Miskimmon Academic and Student Affairs Ms W Fee Chemistry and Chemical **Biological Sciences** Arts, English and Languages (AEL) Engineering -Prof G McMullan Prof W Verhoeven Prof P Robertson **Development and Alumni Relations** Ms N Sinte William J Clinton Leadership Institute -Medicine, Dentistry and Biomedical Sciences - Prof P Mrs PA Clydesdale Electronics, Electrical Engineering and Estates – Mr D Toner McKeown **Computer Science** – Prof D Nikolpoulos Law - Prof Sally Wheeler Finance – Ms W Galbraith Pharmacy – Prof C Hughes Natural and Built Environment – Prof G Keefe Queen's Management School - Prof N Human Resources – Mr B Parkes Hewitt-Dundas **Nursing and Midwifery** Prof D Fitzsimons Mathematics and Physics – Prof Robert Information Services - Mr S Doyle Social Sciences, Education and Social Bowman Work (SSESW) – Prof C Bagley **Research and Enterprise** Mechanical and Aerospace Engineering -Mr S Rutherford Senator George J Mitchell Inst for Prof B Falzon Global Peace, Security and Justice -Prof H Dorrian Student Plus – Mrs C Young Planning, Architecture and Civil Engineering – Prof T Whittaker Marketing, Recruitment, Institute of Professional Legal Studies Communications and - Mr P Mageean Internationalisation Psychology – Prof P Hepper Mrs I Jennings Institute of Theology - Dr J Rahilly

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Appendix 2: Example groups relevant to the Section 75 categories for Northern Ireland purposes

Please note, this list is for illustration purposes only, it is not exhaustive.

Category	Example groups
Religious belief	Buddhist; Catholic; Hindu; Jewish; Muslims, people of no religious belief; Protestants; Sikh; other faiths.
	For the purposes of Section 75, the term "religious belief" is the same definition as that used in the <i>Fair Employment & Treatment (NI) Order</i> ⁵ . Therefore, "religious belief" also includes any <i>perceived</i> religious belief (or perceived lack of belief) and, in employment situations only, it also covers any <i>"similar philosophical belief"</i> .
Political opinion ⁶	Nationalist generally; Unionists generally; members/supporters of other political parties.
Racial group	Black people; Chinese; Indians; Pakistanis; people of mixed ethnic background; Polish; Roma; Travellers; White people.
Men and women generally	Men (including boys); Trans-gendered people; Transsexual people; women (including girls).
Marital status	Civil partners or people in civil partnerships; divorced people; married people; separated people; single people; widowed people.
Age	Children and young people; older people.
Persons with a disability	Persons with disabilities as defined by the Disability Discrimination Act 1995 and subsequent amendments.
Persons with dependants	Persons with personal responsibility for the care of a child; for the care of a person with a disability; or the care of a dependant older person.
Sexual orientation	Bisexual people; heterosexual people; gay or lesbian people.

⁵ See Section 98 of the Northern Ireland Act 1998, which states: *"In this Act…"political opinion" and "religious belief" shall be construed in accordance with Article 2(3) and (4) of the Fair Employment & Treatment (NI) Order 1998."*

Appendix 3: List of external consultees

Action Deaf Youth Action Mental Health Action on Hearing Loss ADD-NI Age NI Alliance Party of Northern Ireland An Munia Tobre Armagh Archdiocese Association of Baptists in Ireland Association of Head Teachers Association of Northern Ireland Colleges (ANIC) Autism NI Bahai Council for Northern Ireland Barnardos **Belfast Butterfly Club** Belfast City Council Belfast Health & Social Care Trust Belfast Hebrew Congregation **Belfast Indian Malayalee Association** Belfast Interface Project **Belfast Islamic Centre** Belfast Metropolitan College Belfast Migrant Forum Belfast Unemployed Resource Centre British Council British Deaf Association (Northern Ireland) **Bryson Charitable Group** Bryson Intercultural **Buddhist Centre** Business in the Community Cara Friend Carers (Northern Ireland) CCEA Cedar Foundation Central Services Agency Charter NI Children's Law Centre Chinese Chamber of Commerce **Chinese Welfare Association** Clanrye Group CM Works **Coleraine Borough Council** Colin Neighbourhood Partnership College of Agriculture, Food and Rural Enterprise Committee on the Administration of Justice **Community Relations Council** Confederation of British Industry (NI)

Co-operation Ireland Council for Catholic Maintained Schools Democratic Unionist Party Department of Education **Derry City Council** Diocese of Down & Connor **Disability Action Disability Employment Service** Down's Syndrome Association EastSide Partnership East Belfast Community Development Agency East Belfast Mission Education Authority Elim Pentecostal **Employability South** Employers' Forum on Disability Equality Commission for Northern Ireland Extern Falls Community Council Falls Women's Centre Federation of Small Businesses GenderJam **GEMS Belfast** Gingerbread NI Indian Community Centre Include Youth NI Inspire Workplaces Institute of Directors Institute of Electrical Engineers Institute of Mechanical Engineers Irish National Teachers' Organisation Law Centre for Northern Ireland LEMIS+ Project LGBT NI Mandarin Speakers Association MENCAP NI Men's Action Network Methodist Church in Ireland Mindwise MS Society Northern Ireland NASUWT National Union of Students - Union of Students in Ireland **NEET Youth Forum** NIACRO NIPEC NIPPA **NIPSA** North Belfast Partnership Board North West Regional College Northern Health and Social Care Trust Northern Ireland Assembly

Northern Ireland Association for Mental Health Northern Ireland Chamber of Commerce & Industry Northern Ireland Childminding Association Northern Ireland Council for Voluntary Action Northern Ireland Council Integrated Education (NICIE) Northern Ireland Gay Rights Association (NIGRA) Northern Ireland Human Rights Commission Northern Ireland Public Service Alliance (NIPSA) Northern Ireland Social Care Council NI Somali Association NI Union of Supported Employment Northern Ireland Women's Aid Federation Northern Regional College NSPCC Office of the First & Deputy First Minister (OFMDFM) Orchardville Society POBAL Community PRAXIS Presbyterian Church in Ireland Probation Board for Northern Ireland **Progressive Unionist Party** Queer Space Rainbow Project Royal College of Nursing Royal College of Speech & Language Therapy Royal National Institute for the Blind (RNIB) Rural Community Network **Rural Development Council** SAIL NI Sense Northern Ireland Shelter Northern Ireland Ltd Simon Community Sinn Fein Skills Northern Ireland Social Democratic & Labour Party Society of St Vincent de Paul South Belfast Round Table South Eastern Health & Social Care Trust South Eastern Regional College South Belfast Partnership Board South West College Southern Health & Social Care Trust Southern Regional College Spina Bifida & Hydrocephalus Association Start360 Stepping Stones Sudanese Community Association NI (SCANI) The Church of Ireland The National Autistic Society Northern Ireland The Worker's Party of Ireland Traditional Unionist Voice

Training for Womens' Network **Traveller Movement** Triangle Housing UCU Ulster Scots Agency Ulster Scots Community Network Ulster Supported Employment Ltd Ulster Teachers' Union Ulster Unionist Party **UNESCO** Centre Union of Construction, Allied Trades & Technicians (UCATT) Unite the Union University and College Union Volunteer Now Voluntary Organisations Development Agency West Belfast Partnership Board Western Health & Social Care Trust Women's Resource & Development Agency Women's Support Network Youth Action Northern Ireland Gender Equality Unit Youthnet

Appendix 4: Glossary of Terms

The Commission recommends that glossary of terms used is included in an Equality Scheme. This is based on the glossary of terms included in the Commission's Section 75 Guide.

Action plan

A plan which sets out actions a public authority will take to implement its Section 75 statutory duties. It is a mechanism for the realisation of measures to achieve equality outcomes for the Section 75 equality and good relations categories.

Action measures and outcomes

Specific measures to promote equality and good relations for the relevant Section 75 and good relations categories, linked to achievable outcomes, which should be realistic and timely.

Adverse impact

Where a Section 75 category has been affected differently by a policy and the effect is less favourable, it is known as adverse impact. If a policy has an adverse impact on a Section 75 category, a public authority must consider whether or not the adverse impact is unlawfully discriminatory. In either case a public authority must take measures to redress the adverse impact, by considering mitigating measures and/or alternative ways of delivering the policy.

Affirmative action

In general terms, affirmative action can be defined as being anything consistent with the legislation which is necessary to bring about positive change. It is a phrase used in the Fair Employment and Treatment Order (NI) 1998 to describe lawful action that is aimed at promoting equality of opportunity and fair participation in employment between members of the Protestant and Roman Catholic communities in Northern Ireland.

Audit of Equality Effectiveness

An Audit of Equality Effectiveness is a systematic review and analysis of inequalities which exist for service users and those affected by a public authority's policies. An audit can be used by a public authority to inform its work in relation to the Section 75 equality and good relations duties. It can also enable public authorities to assess progress on the implementation of the Section 75 statutory duties, as it provides baseline information on existing inequalities relevant to a public authority's functions.

Consultation

In the context of Section 75, consultation is the process of asking those affected by a policy (ie, service users, staff, the general public) for their views on how the policy could be implemented more effectively to promote equality of opportunity across the 9 categories. Different circumstances will call for different types of consultation. Consultations could, for example, include meetings, focus groups, surveys and questionnaires.

Differential impact

Differential impact occurs where a Section 75 group has been affected differently by a policy. This effect could either be positive, neutral or negative. A public authority must make a judgement as to whether a policy has a differential impact and then it must determine whether the impact is adverse, based on a systematic appraisal of the accumulated information.

Discrimination

The anti-discrimination laws prohibit the following forms of discrimination:

- Direct discrimination
- Indirect Discrimination
- Disability Discrimination
- Victimisation
- Harassment

Brief descriptions of these above terms follow:

Direct discrimination

This generally occurs where a public authority treats a person less favourably than it treats (or, would treat) another person, in the same or similar circumstances, on one or more of the statutory non-discrimination grounds. A decision or action that is directly discriminatory will normally be unlawful unless: (a) in an age discrimination case, the decision can be objectively justified, or (b) in any other case, the public authority can rely on a statutory exception that permits it – such as a *genuine occupational requirement exception; or, a positive action exception* which permits an employer to use "welcoming statements" or to take other lawful positive action to encourage participation by underrepresented or otherwise disadvantaged groups.

Indirect discrimination

The definition of this term varies across some of the anti-discrimination laws, but indirect discrimination generally occurs where a public authority applies to all persons a particular provision, criterion or practice, but which is one that has the effect of placing people who share a particular equality characteristic (e.g. the same sex, or religious belief, or race) at a particular disadvantage compared to other people. A provision, criterion or practice that is indirectly discriminatory will normally be unlawful unless (a) it can be objectively justified, or (b) the public authority can rely on a statutory exception that permits it.

Disability discrimination

In addition to direct discrimination and victimisation and harassment, discrimination against disabled people may also occur in two other ways: namely, (a) *disability-related discrimination*, and (b) *failure to comply with a duty to make reasonable adjustments*.

(a) Disability-related discrimination generally occurs where a public authority, without lawful justification, and for a reason which relates to a disabled person's disability, treats that person less favourably that it treats (or, would treat) other people to whom that reason does not (or, would not) apply. (b) Failure to comply with a duty to make reasonable adjustments: One of the most notable features of the disability discrimination legislation is that in prescribed circumstances it imposes a duty on employers, service providers and public authorities to take such steps as are reasonable to remove or reduce particular disadvantages experienced by disabled people in those circumstances.

Victimisation

This form of discrimination generally occurs where a public authority treats a person less favourably than it treats (or, would treat) another person, in the same or similar circumstances, because the person has previously exercised his/her rights under the anti-discrimination laws, or has assisted another person to do so. Victimisation cannot be justified and is always unlawful.

Harassment

Harassment generally occurs where a person is subjected to unwanted conduct that is related to a non-discrimination ground with the purpose, or which has the effect, of violating their dignity or of creating for them an intimidating, hostile, degrading, humiliating or offensive environment. Harassment cannot be justified and is always unlawful.

Equality impact assessment

The mechanism underpinning Section 75, where existing and proposed policies are assessed in order to determine whether they have an adverse impact on equality of opportunity for the relevant Section 75 categories. Equality impact assessments require the analysis of both quantitative and qualitative data.

Equality of opportunity

The prevention, elimination or regulation of discrimination between people on grounds of characteristics including sex, marital status, age, disability, religious belief, political opinion, dependants, race and sexual orientation.

The promotion of equality of opportunity entails more than the elimination of discrimination. It requires proactive measures to be taken to secure equality of opportunity between the categories identified under Section 75.

Equality Scheme

A document which outlines a public authority's arrangements for complying with its Section 75 obligations. An Equality Scheme must include an outline of the public authority's arrangements for carrying out consultations, screening, equality impact assessments, monitoring, training and arrangements for ensuring access to information and services.

Good relations

Although not defined in the legislation, the Commission has agreed the following working definition of good relations: 'the growth of relations and structures for Northern Ireland that acknowledge the religious, political and racial context of this society, and that seek to promote respect, equity and trust, and embrace diversity in all its forms'.

Mitigation of adverse impact

Where an equality impact assessment reveals that a particular policy has an adverse impact on equality of opportunity, a public authority must consider ways of delivering the policy outcomes which have a less adverse effect on the relevant Section 75 categories; this is known as mitigating adverse impact.

Monitoring

Monitoring consists of continuously scrutinising and evaluating a policy to assess its impact on the Section 75 categories. Monitoring must be sensitive to the issues associated with human rights and privacy. Public authorities should seek advice from consultees and Section 75 representative groups when setting up monitoring systems.

Monitoring consists of the collection of relevant information and evaluation of policies. It is not solely about the collection of data, it can also take the form of regular meetings and reporting of research undertaken. Monitoring is not an end in itself but provides the data for the next cycle of policy screening.

Northern Ireland Act

The Northern Ireland Act, implementing the Good Friday Agreement, received Royal Assent on 19 November 1998. Section 75 of the Act created the statutory equality duties.

Policy

The formal and informal decisions a public authority makes in relation to carrying out its duties. Defined in the New Oxford English Dictionary as 'a course or principle of action adopted or proposed by a government party, business or individual'. In the context of Section 75, the term **policies** covers all the ways in which a public authority carries out or proposes to carry out its functions relating to Northern Ireland. Policies include unwritten as well as written policies.

Positive action

This phrase is not defined in any statute, but the Equality Commission understands it to mean any lawful action that a public authority might take for the purpose of promoting equality of opportunity for all persons in relation to employment or in accessing goods, facilities or services (such as health services, housing, education, justice, policing). It may involve adopting new policies, practices, or procedures; or changing or abandoning old ones. *Positive action* is not the same as *positive discrimination*.

Positive discrimination differs from positive action in that *positive action* involves the taking of lawful actions whereas *positive discrimination* involves the taking of unlawful actions. Consequently, positive action is by definition lawful whereas *positive discrimination* is unlawful.

Qualitative data

Qualitative data refers to the experiences of individuals from their perspective, most often with less emphasis on numbers or statistical analysis. Consultations are more likely to yield qualitative than quantitative data.

Quantitative data

Quantitative data refers to numbers, typically derived from either a population in general or samples of that population. This information is often analysed by either using descriptive statistics, which consider general profiles, distributions and trends in the data, or inferential statistics, which are used to determine 'significance' either in relationships or differences in the data.

Screening

The procedure for identifying which policies will be subject to equality impact assessment, and how these equality impact assessments will be prioritised. The purpose of screening is to identify the policies which are likely to have a minor/major impact on equality of opportunity so that greatest resources can be devoted to improving these policies. Screening requires a systematic review of existing and proposed policies.

Schedule 9

Schedule 9 of the Northern Ireland Act 1998 sets out detailed provisions for the enforcement of the Section 75 statutory duties, including an outline of what should be included in an Equality Scheme.

Section 75

Section 75 of the Northern Ireland Act provides that each public authority is required, in carrying out its functions relating to Northern Ireland, to have due regard to the need to promote equality of opportunity between:-

- persons of different religious belief, political opinion, racial group, age, marital status and sexual orientation;
- men and women generally;
- · persons with a disability and persons without; and
- persons with dependants and persons without.

Without prejudice to these obligations, each public authority in carrying out its functions relating to Northern Ireland must also have regard to the desirability of promoting good relations between persons of different religious belief, political opinion or racial group.

Section 75 investigation

An investigation carried out by the Equality Commission, under Schedule 9 of the NI Act 1998, arising from the failure of a public authority to comply with the commitments set out in its approved Equality Scheme.

There are two types of Commission investigation, these are as follows:

- 1. An investigation of a complaint by an individual who claims to have been directly affected by the failure of a public authority to comply with its approved Equality Scheme;
- 2. An investigation initiated by the Commission, where it believes that a public authority may have failed to comply with its approved Equality Scheme.

Appendix 5: Action Plan template

Queen's University Belfast DRAFT Equality Action Plan (2018-2023)

S75 category	Aim/objective	Action	Responsibility	Timescal e (e.g Year 1, 2 etc)	Relevant Action Plan(s)
	To have due regard to the need to promote equality of opportunity between people of different racial groups.				Equality Action Plan
	To promote good relations between people of different racial groups.				Equality Action Plan
Sexual orientation	To have due regard to the need to promote equality of opportunity between people of different sexual orientation.				Equality Action Plan
Age	To have due regard to the need to promote equality of opportunity between people of different ages.				Equality Action Plan
	To have due regard to the need to promote equality of opportunity between persons with a disability and persons without.	Please see University's Disabi <u>Click here to download the doc</u>	•)16-2021 for	details.

	To ensure effective implementation of the delivery of 39 positive action measures in the University's Disability Action Plan (2016- 2021).		Disability Action Plan 2016-2021
	To have due regard to the need to promote equality of opportunity between persons of different religious belief including those with no religious belief. To promote good relations between people of different religious beliefs or none.		
Gender (includes gender reassignment)	Liaise with colleagues on the effective delivery of the University's Gold Action Plan and QGI Strategy.	Please see the University's Ins (pending) Please see the Queen's Gend (pending)	
Dependants	Identify a number of activities, to ensure the University provides support to those with dependants.		Equality Action Plan
Marital status	Identify a number of activities, to ensure the University provides support to staff and provides equality of opportunity regardless of their marital or civil partnership status.		Equality Action Plan
	Identify a number of activities, to ensure the		Equality Action Plan

University provides support to staff and provides equality of opportunity regardless of their marital or civil partnership status. To promote good relations between people of different political opinions.		Equality Action Plan

Contact details

If you have any questions or comments on this scheme or if you require it in an alternative format/language please contact the Diversity & Inclusion Unit:

- @ Email: eqdiv@qub.ac.uk
- Telephone: 028 90973039
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- Twitter: @QUBEqualDiverse
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